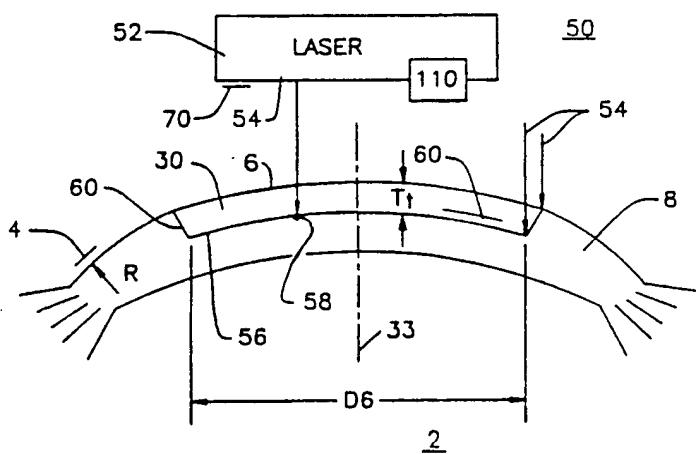


INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 5 : A61N 5/02, 5/06		A1	(11) International Publication Number: WO 94/09849 (43) International Publication Date: 11 May 1994 (11.05.94)
(21) International Application Number:	PCT/US93/10271		
(22) International Filing Date:	26 October 1993 (26.10.93)		
(30) Priority data:	07/968,060	26 October 1992 (26.10.92)	US
(71)(72) Applicants and Inventors:	SWINGER, Casimir, A. [US/US]; 9 West 67th Street, New York, NY 10023 (US). LAI, Shui, T. [US/US]; 1223 Orchard Glen Circle, Encinitas, CA 92024 (US).		(81) Designated States: AT, AU, BB, BG, BR, BY, CA, CH, CZ, DE, DK, ES, FI, GB, HU, JP, KP, KR, KZ, LK, LU, LV, MG, MN, MW, NL, NO, NZ, PL, PT, RO, RU, SD, SE, SK, UA, US, VN, European patent (AT, BE, CH, DE, DK, ES, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).
(74) Agents:	GARVEY, Christopher, B. et al.; Nolte, Nolte & Hunter, 350 Jericho Turnpike, Jericho, NY 11753 (US).		<p>Published <i>With international search report.</i> <i>Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</i></p>

(54) Title: METHOD OF PERFORMING OPHTHALMIC SURGERY



(57) Abstract

Low energy, ultra-short (femtoseconds) pulsed laser radiation is applied to the patient's eye (2) in one of a number of patterns such that the exposed ocular tissue is ablated (56) or excised (54) through the process of optical breakdown or photodisruption in a very controlled fashion. The process can be gentle enough that the invention makes possible the performance of a number of surgical procedures that in the past could not have been performed at all, such as capsulorhexis, or were performed in a fashion that provided less than an ideal result or excessive trauma to the ocular tissue. Such latter applications include the making of incisions for corneal transplantation, radial and arcuate keratotomy, and intra-stromal cavitation. Using the laser inside the eye allows the surgeon to perform glaucoma operations such as trabeculoplasty and iridotomy, cataract techniques such as capsulectomy, capsulorhexis and phacoablation, and vitreoretinal surgery, such as membrane resection. The various procedures are accomplished by controlling energy flux or irradiance, geometric deposition of beam exposure and exposure time.

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AT	Austria	GB	United Kingdom	MR	Mauritania
AU	Australia	GE	Georgia	MW	Malawi
BB	Barbados	GN	Guinea	NE	Niger
BE	Belgium	GR	Greece	NL	Netherlands
BF	Burkina Faso	HU	Hungary	NO	Norway
BG	Bulgaria	IE	Ireland	NZ	New Zealand
BJ	Benin	IT	Italy	PL	Poland
BR	Brazil	JP	Japan	PT	Portugal
BY	Belarus	KE	Kenya	RO	Romania
CA	Canada	KG	Kyrgyzstan	RU	Russian Federation
CF	Central African Republic	KP	Democratic People's Republic of Korea	SD	Sudan
CG	Congo	KR	Republic of Korea	SE	Sweden
CH	Switzerland	KZ	Kazakhstan	SI	Slovenia
CI	Côte d'Ivoire	LI	Liechtenstein	SK	Slovakia
CM	Cameroon	LK	Sri Lanka	SN	Senegal
CN	China	LU	Luxembourg	TD	Chad
CS	Czechoslovakia	LV	Latvia	TC	Togo
CZ	Czech Republic	MC	Monaco	TJ	Tajikistan
DE	Germany	MD	Republic of Moldova	TT	Trinidad and Tobago
DK	Denmark	MC	Madagascar	UA	Ukraine
ES	Spain	ML	Mali	US	United States of America
FI	Finland	MN	Mongolia	UZ	Uzbekistan
FR	France			VN	Viet Nam
GA	Gabon				

METHOD OF PERFORMING OPHTHALMIC SURGERY

This application describes various surgical procedures employing a femtosecond laser described in a co-pending application of Shui T. Lai.

FIELD OF INVENTION

The invention relates broadly to surgical procedures of the eye. Such procedures include operations on the outer covering of the eye, or cornea, the iris and trabecular meshwork, the lens, and the vitreous and retina.

BACKGROUND OF THE INVENTION

In many cases, the procedures proposed have been performed in the past, but they have been accompanied by inaccuracy, trauma or ocular damage. In others, they have never been capable of performance because of surgical or technical considerations.

United States patent 4,309,998, Aron nee Rosa et. al., issued January 12, 1982, described the process of optical breakdown and photodisruption, whereby tissues, transparent or not, to a given wavelength of laser radiation can be excised or ablated by sharply focusing the beam at a specific point in the tissue while achieving a local power density at the site above the threshold (greater than 10 12 Watts/cm²) for optical breakdown,

a complex process involving ionization, plasma formation, and mechanical disruption by secondarily propagated waves. In this patent, the inventors used a YAG laser, emitting at 1064 nm, with pulse widths in the range of 20-400 ps and energies in the range of 2-5 mJ to ablate opacities from the lens of the eye, open posterior lens capsules, and cut vitreous membranes.

In their publication, "Ophthalmic Neodymium Yag Lasers", Keates et. al. describe the basic principles underlying photodisruption with lasers. The definition of power density is given as the ratio of beam energy in Joules divided by pulse length in seconds times focal spot area in square centimeters. Thus the shorter the pulse length or the smaller the spot, the greater the power density, which is the determinant in achieving optical breakdown, whose threshold is given as 10^{12} W/cm². Also, it is described that high pulse power and low energy pulses are preferred for cutting or perforating tissue, and that low pulse power and high energy pulses are associated with thermal and biophysical damage mechanisms. By using shorter pulses, an appropriate power density can be achieved in any tissue with a lower energy level, which reduces shock waves and adjacent tissue damage.

United States patent 4,907,586, Bille and Brown, issued March 13, 1990, describes the use of the photodisruptive process for corneal and other eye surgery. In this patent, a quasi-continuous picosecond pulse width laser is used to create

optical breakdown in various tissues. The inventors describe, in general, the types of procedures that may be attempted with such a laser.

One of us (Shui T. Lai) has described technology for producing laser pulses in the femtosecond range, which, as based on the above discussion, allows high power densities to be achieved at much lower energy levels than any described in the art. Experimentally, we ablated tissue by photodisruption at various pulse widths and energy levels and have demonstrated the attainment of superior results with respect to the procedures described herein when operating in the femtosecond range as opposed to the picosecond range, with respect to pulse width. Light and electron microscopy have clearly demonstrated less adjacent damage, sharper incisions, and the ability to more accurately localize the surgical interaction, which is mandatory for optical success.

Corneal operations are typically performed for either therapeutic or optical considerations. In the therapeutic class are such procedures as lamellar keratoplasty and penetrating keratoplasty or corneal transplantation. The classic operation of lamellar keratoplasty is designed to remove scarred, irregular or opaque corneal tissue from across the visually critical central optic zone of the cornea and replacement with a partial donor cornea to restore the corneal shape and clarity, thereby improving vision. It relates also